

Customer Due Diligence

Client

Mrs Pyota Zima

Your Reference

NCS-1

Risk Assessment

Medium Risk

Date

28th June 2017

Expires

28th June 2018


Risk Assessment Result

NON-COMPLIANT

This client is not compliant according with your organisation's Risk Assessment

For more information please refer to the internal report sections

Below is a summary of the Due Diligence Risk Assessment for this Client

Risk Assessment Result	Non-Compliant
Risk Assessment Profile Name	Medium Risk
Type of Due Diligence	Enhanced
Enhanced Electronic Result	Passed
Documentary Evidence	Refer to page 4
Nationality	British
Residency	United Kingdom
Relationship	New Client
Interviewed	In Person
Client Identified (Enhanced Electronic)	Identified
UK Financial Sanctions	Found 
EU Financial Sanctions	Not Found
Specially Designated National (SDN)	No
Politically Exposed Person (PEP)	No
Interpol Red Warning List	Not Found

Please refer to Additional Investigation on page 5 for further Risk Assessment information.

Below is the Client Data used in this Risk Assessment

The initiator of this Risk Assessment confirmed that the Client has been informed that their personal data will be used to carry out this Risk Assessment.

Your Reference **123456**

Identity

Title/Prefix	Mrs
Forename	Pyota
Surname	Zima
Middle/other names	
Suffix	
Gender	Female
Date of Birth	04/04/1940
Nationality	British
Country of Residence	United Kingdom

Previous Names / Aliases

No previous names or aliases were supplied

Address in country of residence

4, TOPHAM LANE
SOMETOWN
X9 9LF

Previous Address

No previous address was supplied

This section of the Risk Assessment displays the selected documentary evidence for this Client

Documentary Evidence

Type / Reference	Usage	Certified	Certified By
UK Passport 123456	Identity	28/06/2017	amluser amluser
Paper Driving Licence 123456	Identity	28/06/2017	amluser amluser
Utility Bill 3212354365476	Address	28/06/2017	amluser amluser

This section displays any additional investigations and management oversight which contributed to the Risk Assessment result

Management Oversight

UK Consolidated Financial Sanctions

We have been unable to confirm that the Client is not the person identified under UK Consolidated Financial Sanctions

Management Oversight updated by

This assessment is awaiting further investigation and completion of the Management Oversight

Input Details

Name	Mrs Pyota Zima
Address	4, TOPHAM LANE, SOMETOWN, X9 9LF
Date of Birth	04/04/1940
User Reference	123456
Search Type	Money Laundering Check
Search Date	28/06/2017 00:00:00
Search ID	00000003:AML_ENH_00004014
Applicant Present/Remote	Interview: In Person
Identity Document Confirmations:	2
Confirmation 1	UK Passport (Ref:123456)
Confirmation 2	Utility Bill (Ref:3212354365476)

Result Summary

Address	4, TOPHAM LANE, SOMETOWN, X9 9LF
CallML Search ID	{41DE62CF-7D90-4982-B79E-C9596F68A4A8}
Number of Valid Identity Checks	4
Number of Valid Address Checks	3
Identity Documents Pre-Confirmed on Input	2
Number of Confirmed / Total Dates of Birth	3/3
Applicant Verified	Yes

Address Confirmation

Name	Pyota Zima
Address	4, TOPHAM LANE, SOMETOWN, X9 9LF
Date from, to on Annual Electoral Roll	28/11/2016 to Not Specified
Address confirmed by Royal Mail PAF?	Yes
Electoral Roll data used in decision?	Yes

Note: Data available for less than 1 year

Insolvencies

There are no Insolvency records recorded

County Court Judgments and Decrees

There are no County Court Judgments and Decrees recorded

Financial Share Records

Name	Mrs Pyota Zima
Address	4 TOPHAM LANE SOMETOWN X9 9LF
Account Holder Status	NormalAccountHolder
Account Type Group	Unspecified
Date of Birth	1940-04-04
Date of Birth match	Yes
Used in Decision?	Yes

Name	Mrs Pyota Zima
Address	4 TOPHAM LANE SOMETOWN X9 9LF
Account Holder Status	NormalAccountHolder
Account Type Group	Unspecified
Date of Birth	1940-04-04
Date of Birth match	Yes
Used in Decision?	Yes

Financial Share Records - continued

Name	Mrs Pyota Zima
Address	4 TOPHAM LANE SOMETOWN X9 9LF
Account Holder Status	NormalAccountHolder
Account Type Group	Unspecified
Date of Birth	1940-04-04
Date of Birth match	Yes
Used in Decision?	Yes

FTSE Records

There are no FTSE Records recorded

Search History

There is no Search History recorded



Full AML Search v4 Risk Assessment

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For more information or verification of
this Risk Assessment please contact AML Search

WWW.AMLSEARCH.CO.UK